



GRCA - Governance, Risk, Compliance & Audit (ADVANCED)

PIK655-0425 ORLD-1



Place: Orlando (USA) **Venue:** Hampton Inn & Suites (7448 International Dr, Orlando, FL 32819, USA) - TBC
Start Date: 07-04-2025 **End Date:** 11-04-2025 **PPP:** £4950



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**If you can't train them,
you can't blame them!**

Short Description:

This advanced course on Governance, Risk, Compliance & Audit (GRCA) is intended to provide you the skills you need to efficiently plan and improve integrated GRCA activities throughout your business. Additionally, it provides you with the knowledge and skills necessary to comprehend your company's obligations, reduce risk, and put a suitable management structure in place for when a problem emerges. This course on Governance, Risk, Compliance & Audit (GRCA) is designed to equip participants with the essential skills required for the effective planning and enhancement of integrated GRCA activities within their organisations. Furthermore, the course aims to provide a comprehensive understanding of corporate obligations, strategies for risk mitigation, and the establishment of an appropriate management framework to address potential issues as they arise.

Course Overview:

COURSE OBJECTIVES

Upon completion of this training program, participants will be equipped to:

- Comprehend the foundational principles of Governance, Risk Management, Compliance & Audit (GRCA) within a regulatory framework.
- Acknowledge the critical role of effective regulatory compliance risk management as a cornerstone of governance.
- Identify & assess high-risk areas within the organisation, applying risk-based thinking in their evaluations.
- Articulate the roles and responsibilities of the compliance officer & their team.
- Formulate & implement a strategic plan for GRCA that includes the establishment of efficient operational procedures.
- Apply a risk-based auditing methodology to enhance the effectiveness of Governance, Risk Management, Compliance & Audit Initiatives.

TARGET AUDIENCE

- Executives & Board Members in leadership positions responsible for strategic decision-making & oversight of organisational operations.
- Compliance Officers & Managers, including their Deputies tasked with ensuring that the organisation adheres to legal, regulatory & internal standards.
- Governance, Risk, Compliance & Audit (GRCA) Professionals & Legal Experts who focus on the integration of Governance, Risk Management, Compliance & Audit processes within the organisation.
- Regulatory Representatives who act on behalf of regulatory bodies to enforce compliance with relevant laws & regulations.
- Company Secretaries, Governance Professionals, Risk Managers and Auditors responsible for supporting the governance framework, managing risks & ensuring legal compliance within the organisation.
- Internal Auditors who evaluate the effectiveness of internal controls, risk management practices & governance processes to enhance operational efficiency & compliance.

Program Outline:

SESSION 1: Environment for Compliance & Regulation

1. Introduction to Governance, Risk, and Compliance (GRC).
2. Regulatory Goals in Financial Services.
3. Important Rules Affecting (International) Financial Institutions.
4. Understanding Intergovernmental Agreements.
5. Recognising your Regulator.

SESSION 2: Risk

1. Fundamental Principles of Risk Classes.
2. Understanding Risk Management Techniques.
3. Framework for Risk Management.
4. Significance of Internal Controls.
5. Strategies for Risk Identification, Mitigation & Control.

SESSION 3: Financial Crimes

1. Strategies for Preventing Fraud.

2. Addressing Ethical Concerns Related to Cronyism & Bribery.
3. Managing Risks Associated with Insider Trading & Market Manipulation.
4. Implementing a Risk-Based Framework for Countering Terrorist Financing & Money Laundering.
5. Guidelines for Reporting & Addressing High-Risk Indicators.

SESSION 4: Governance

1. Effective Stakeholder
2. Roles of the Board of Directors & Finance Committee.
3. National & Global Corporate Governance Environments.
4. Corporate Social Responsibility Practices.
5. Importance of Public Disclosure & Supervisory Practices.

SESSION 5: Audit, Accuracy, Compliance & Efficiency

1. Verification of Financial Statements.
2. Compliance with Regulations.
3. Risk Assessment.
4. Operational Efficiency.
5. Stakeholder Assurance.

SESSION 6: Concepts of the "Governance," "Risk", "Compliance" & Audit Model (GRCA)

1. Clarification of Roles & Responsibilities in Governance, Risk, Compliance & Audit (GRCA).
2. Integrated Approaches & Key Facilitators in GRCA Implementation.
3. Governance Structures - Board & Committee Roles and Responsibilities.
4. Selection of Best Practices for Governance, Risk, Compliance & Audit.
5. Development of a Robust Governance, Risk & Compliance Model.